



CHARLES E. RUMBAUGH

Arbitrator/Private Judge/Mediator
(310) 373-1981 / FAX (310) 373-4182

ADROffice@Rumbaugh.net
www.Rumbaugh.net

Alternative Dispute Resolution techniques/methods were used in the context of the following—

Commercial/International and Franchise/Distributorships:

Letters of Intent
Unregistered franchises
Intra-Franchisee “Ownership” dispute between Principals of Franchisee
Noncompetition Agreements/Clauses (In-Term and Post-Term)
Post-Arbitration Award Relief
Trade Secrets/“Branding”
International disputes
Supply Chain disputes
Letters of Credit/Security Agreements
Covenant of Good Faith and Fair Dealing
Unfair Competition
Promissory Notes and Guarantees
Noncureable Defaults
Royalty/License Amounts/Payments/Earnings Claims
Rescission of Franchise Agreement/Purchase by Franchisee
“Breakaway” Franchisee
Injunctive/Interim/Declaratory Relief
Termination of Franchise and Distributorship & Termination for Fraud
Fraud and Deceit: Intentional Misrepresentation, False Promise, Concealment, and Negligent Misrepresentation
Real Estate, Franchise Construction/Build-Out Issues
Retention of Post-Award Jurisdiction and Reopening of prior Awards for Enforcement.
Intentional Interference with Contract and Prospective Economic Advantage
Alleged violation of Franchise Law Statutory provisions
Equitable Indemnity, Contribution, and Express Indemnity.
Absence of Statutory Non-Renewal Notice to Franchisee.
Prevailing Party issues

Real Estate (Residences, Condominium, Commercial, Industrial, Farm/Ranches, etc.):

Force Majeure and the recent “Financial Meltdown.”
Secured “Signature-only” loans.
Interpretation of Buy/Sell/Lease/Sublease/Rent Agreements
Escrow Instructions
Refinancing/Impossibility of Performance
Removal of (“lock-out”) Financial Encumbrances
Conditions Precedent/Subsequent
Common areas including use of common parking areas
Water wells/storage
Title Defects, Timely Objections to Title issues, Waiver
Transfer of Personal Property
Barriers on Use of Property
Covenants, Conditions, and Restrictions
Physical Condition issues including “as is” in connection with alleged fraud
Fraud and Misrepresentations
Broker/Agent Liability and Broker’s Commission
“Time is of the Essence”
Breach of Expressed/Implied Warranties
Liquidated Damages and Enforceability of “Caps” on Liability
Default, remedy, specific performance/Prevailing Party issues
Guarantees
Rescission of Buy/Sell Agreement coupled with Rescission of Encumbrances

Securities (stocks, bonds, partnerships, profit-sharing, security/commodity options/warrants, mutual funds, REITs etc):

Off-shore Investments
2008 et seq “Financial Meltdown”
Force Majeure
Suitability/Sophistication Issues
Failure to Supervise
Failure to execute electronic orders
Direct/Indirect impact on other investment strategies
Breach of Contract, Negligence, Breach of Fiduciary Duties
Fraud/Misrepresentation
Churning
Punitive Damages

Patent Validity Disputes